



1615

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/886,296
	Filing Date	June 21, 2001
	First Named Inventor	Thomas E. TARARA
	Group Art Unit	1615
	Examiner Name	
Total Number of Pages in This Submission	12	Attorney Docket Number 0054.10

ENCLOSURES (check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael J. Rafa; Inhale Therapeutic Systems, Inc.
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DATE July 13, 2001

BY: Karen J. Moir
Karen J. Moir

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
)	
Thomas E. Tarara et al.)	Examiner: Unassigned
)	
Application No.: 09/886,296)	Art Unit: 1615
)	
Filed: June 21, 2001)	INFORMATION DISCLOSURE
)	STATEMENT UNDER
For: Engineered Particles and Methods of Use)	<u>37 CFR §1.97 (c) AND §1.98</u>

Assistant Commissioner for Patents
Washington D.C. 20231

Sir:

The references cited on attached form PTO-SB/08A are being called to the attention of the Examiner. Copies of the references have not been provided herein and copies may be found in prior application serial number 09/219,736, filed December 22, 1998, relied upon in the instant application for an earlier filing date under 35 U.S.C. 120.



It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record herein and appear among the "references cited" on any patent to issue therefrom.

No fee is required for this filing. However, the Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account Number 500348.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael J. Rafa".

Michael J. Rafa

Registration No. 38,740

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet 1 of 9

Application Number	09/886,296
Filing Date	06/21/2001
First Named Inventor	Thomas E. TARARA
Group Art Unit	1615 1616
Examiner Name	BAWA
Attorney Docket Number	0054.10

U.S. PATENT DOCUMENTS

Examiner Initials [*]	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,397,799		Edgren et al.	08/09/1983	
HA	2	5,855,913		Hanes et al.	01/05/1999	
HA	3	5,308,620		Yen	05/03/1994	
	4	5,616,311		Yen	04/01/1997	
	5	5,898,028		Jensen et al.	04/27/1999	
	6	5,654,007		Johnson et al.	08/05/1997	
HA	7	5,667,809		Trevino et al.	09/16/1997	
HA	8	5,770,585		Kaufman et al.	06/23/1998	
	9	5,605,673		Schutt et al.	02/25/1997	
	10	5,562,608		Sekins et al.	10/08/1996	
	11	5,770,187		Hasebe et al.	06/23/1998	
	12	5,766,573		Purewal et al.	06/16/1998	
	13	5,755,218		Johansson et al.	05/26/1998	
	14	5,744,123		Akehurst et al.	04/28/1998	
	15	5,743,252		Rubsamen et al.	04/28/1998	
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	17	5,741,522		Violante et al.	04/21/1998	
	18	5,741,478		Osborne et al.	04/21/1998	
	19	5,506,203		Backstrom et al.	04/09/1996	
	20	5,736,124		Akehurst et al.	04/07/1998	

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		Office ³	Number ⁴				
	99	WO	96/26746		09/06/1996		
	100	WO	98/08519		03/05/1998		
	101	WO	98/05302		02/12/1998		
	102	WO	98/01161		01/15/1998		
	103	WO	97/44013		11/27/1997		
	104	WO	97/44012		11/27/1997		
	105	WO	98/17257		04/30/1998		
	106	WO	97/36578		10/09/1997		
HA	107	WO	97/36574		10/09/1997		
	108	WO	97/35562		10/02/1997		

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Sheet 2 of 9**Complete if Known**

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		Number	Kind Code ² (if known)			
	21	5,735,263		Rubsamen et al.	04/07/1998	
	22	5,725,871		Illum	03/10/1998	
	23	5,744,166		Illum	04/28/1998	
	24	5,725,841		Duan et al.	03/10/1998	
	25	5,724,957		Rubsamen et al.	03/10/1998	
	26	5,720,940		Purewal et al.	02/24/1998	
	27	5,718,222		Lloyd et al.	02/17/1998	
	28	5,718,921		Mathiowitz et al.	02/17/1998	
	29	5,707,352		Sekins et al.	01/13/1998	
	30	5,695,744		Neale et al.	12/09/1997	
	31	5,695,743		Purewal et al.	12/09/1997	
	32	5,690,954		Illum	11/25/1997	
	33	5,688,782		Neale et al.	11/18/1997	
	34	5,683,677		Purewal et al.	11/04/1997	
	35	5,683,676		Akehurst et al.	11/04/1997	
	36	5,681,545		Purewal et al.	10/28/1997	
	37	5,676,929		Akehurst et al.	10/14/1997	
	38	5,674,473		Purewal et al.	10/07/1997	
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	40	5,674,471		Akehurst et al.	10/07/1997	

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	109	WO	96/19968			07/04/1996		
	110	WO	96/19198			06/27/1996		
	111	WO	96/19197			06/27/1996		
	112	WO	96/18388			06/20/1996		
	113	WO	96/15814			05/30/1996		
	114	WO	96/09814			04/04/1996		
	115	WO	95/23613			09/08/1995		
	116	WO	95/31964			11/30/1995		
	117	WO	95/31182			11/23/1995		
	118	WO	95/27476			10/19/1995		

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Sheet 3

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		Number	Kind Code ² (if known)			
	41	5,658,549		Akehurst et al.	08/19/1997	
	42	5,653,962		Akehurst et al.	08/05/1997	
	43	5,635,161		Adjei et al.	06/03/1997	
	44	5,635,159		Fu Lu et al.	06/03/1997	
	45	5,605,674		Purewal et al.	02/25/1997	
	46	5,580,575		Unger et al.	12/03/1996	
	47	5,569,450		Duan et al.	10/29/1996	
	48	5,518,731		Meadows	05/21/1996	
	49	5,518,709		Sutton et al.	05/21/1996	
SM	50	5,518,998		Backstrom et al.	05/21/1996	
	51	5,492,688		Byron et al.	02/20/1996	
	52	5,490,498		Faithfull et al.	02/13/1996	
	53	5,474,759		Fassberg et al.	12/12/1995	
	54	5,470,885		Fuhrman et al.	11/28/1995	
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	56	5,376,359		Johnson	12/27/1994	
	57	5,348,730		Greenleaf et al.	09/20/1994	
	58	5,299,566		Davis et al.	04/05/1994	
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	119	WO	95/24892			09/21/1995		
	120	WO	95/17195			06/29/1995		
	121	WO	95/15118			06/08/1995		
	122	WO	94/08627			04/28/1994		
	123	WO	93/14172			07/22/1993		
	124	WO	93/11745			06/24/1993		
	125	WO	93/11744			06/24/1993		
	126	WO	92/14444			09/03/1992		
	127	WO	91/12823			09/05/1991		
	128	EP	0658101			06/21/1995		

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		Number	Kind Code ² (if known)			
	61	5,230,884		Evans et al.	07/27/1993	
	62	5,225,183		Purewal et al.	07/06/1993	
	63	5,208,226		Palmer	05/04/1993	
	64	5,190,029		Byron et al.	03/02/1993	
	65	5,182,097		Byron et al.	01/26/1993	
	66	5,173,298		Meadows	12/22/1992	
	67	5,126,123		Johnson	06/30/1992	
	68	5,118,494		Schultz et al.	06/02/1992	
	69	5,011,678		Wang et al.	04/30/1991	
	70	4,590,206		Forrester et al.	05/20/1986	
	71	5,653,961		McNally et al.	08/05/1997	
	72	5,770,559		Manning et al.	06/23/1998	
	73	5,770,222		Unger et al.	06/23/1998	
	74	5,747,445		Backstrom et al.	05/05/1998	
	75	5,830,853		Backstrom et al.	11/03/1998	
	76	5,874,063		Briggner et al.	02/23/1999	
	77	5,254,330		Ganderton et al.	10/19/1993	
	78	5,891,844		Hafner	04/06/1999	
	79	4,904,479		Illum	02/27/1990	
	80	5,804,212		Illum	09/08/1998	

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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	129	EP	0616525			09/27/1995		
	130	EP	0605578			01/10/1996		
	131	EP	0588897			02/28/1996		
	132	EP	0587790			01/03/1996		
	133	EP	0556256			08/30/1995		
	134	EP	0553298			11/17/1994		
	135	EP	0536235			01/22/1997		
	136	EP	0536204			04/06/1994		
	137	EP	0513127			07/19/1995		
	138	EP	0499344			10/11/1995		

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Sheet 5

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	81	5,863,554		Illum	01/26/1999	
	82	5,756,104		de Haan et al.	05/26/1998	
	83	5,612,053		Baichwal	03/18/1997	
	84	5,817,293		Akehurst et al.	10/06/1998	
	85	5,308,620		Yen	05/03/1994	
	86	5,616,311		Yen	04/01/1997	
	87	5,547,656		Unger	08/20/1996	
✓	88	5,830,430		Unger et al.	11/03/1998	
	89	5,814,607		Patton	09/29/1998	
	90	5,855,913		Hanes et al.	01/05/1999	
	91	5,874,064		Edwards et al.	02/23/1999	
	92	5,856,367		Barrows et al.	01/05/1999	
	93	5,811,406		Szoka Jr. et al.	09/22/1998	
	94	5,858,784		Debs et al.	01/12/1999	
	95	5,820,883		Tice et al.	10/13/1998	
	96	3,014,844		Thiel et al.	12/26/1961	
	97	4,818,542		DeLuca et al.	04/04/1989	
	98	5,069,936		Yen	12/03/1991	

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	139	EP	0493437			08/02/1995		
	140	EP	0391896			03/02/1994		
	141	EP	0372777			01/07/1993		
	142	EP	0653205			05/17/1995		
	143	EP	0656206			06/07/1995		
	144	EP	0611567			08/24/1994		
	145	EP	0274431			07/13/1988		
	146	EP	2136704			05/28/1995		
	147	EP	0655237			05/31/1995		
	148	CA	2036844			08/23/1991		

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/	149	WO	98/33487			08/06/1998		
/	150	WO	98/31346			07/23/1998		
	151	wo	98/33480			08/06/1998		
	152	wo	98/30207			07/16/1998		
	153	wo	98/13031			04/02/1998		
	154	wo	95/00128			01/05/1995		
	155	wo	96/19199			06/27/1996		
	156	wo	98/29099			07/09/1998		
	157	wo	99/06026			02/11/1999		
	158	wo	97/03649			02/06/1997		

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Sheet 7 of 9

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Application Number	09/886,296
Filing Date	06/21/2001
First Named Inventor	Thomas E. TARARA
Group Art Unit	1615
Examiner Name	
Attorney Docket Number	0054 10

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₀
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	159	WO	92/18164			10/29/1992		
<i>[Signature]</i>	160	WO	97/40819			11/06/1997		
	161	wo	91/11173			08/08/1991		
	162	wo	92/00107			01/09/1992		
	163	wo	96/32149			10/17/1996		
	164	wo	96/40068			12/19/1996		
	165	wo	97/41833			11/13/1997		
	166	wo	98/16205			04/23/1998		
	167	wo	98/29098			07/09/1998		
	168	wo	98/29140			07/09/1998		

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Attorney Docket Number	0054.10

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Sheet	8	of	9
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₀
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	169	WO	95/05194			02/23/1995		
	170	WO	98/29097			07/09/1998		
	171	wo	98/00111			01/08/1998		
	172	wo	91/04011			04/04/1991		
	173	EP	0539522			12/30/1998		
	174	wo	98/41188			09/24/1998		
	175	wo	92/11050			07/09/1992		
	176	wo	91/16882		LIPOSOME TECHNOLOG	11/14/1991		
	177	wo	99/32083		QUADRANT	07/01/1999		

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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				
	178	EP	0655237		HOESCHT	05/31/1995	
	179	WO	97/26863		BYK GULDEN	07/31/1997	
	180	EP	0372777		RIKER LABORATORIES	06/13/1990	
	181	WO	98/07414		RESEARCH TRIANGLE	02/26/1998	
	182	GB	93008753		UCB		
	183	GB	91263780		GLAXO GROUP		
	184	GB	92025196		GLAXO GROUP		

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